

CONTINUING (1.53(b)) UTILITY PATENT APPLICATION TRANSMITTAL

(Only for continuing applications under 37 CFR 1.53(b))

Attorney Docket No.
1077.1011-D

First Named Inventor:
Takeshi SHIOGA, et al.

Title: CAPACITOR AND SEMICONDUCTOR DEVICE AND
METHOD FOR FABRICATING THE
SEMICONDUCTOR DEVICE

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600
concerning utility
patent application contents.

ADDRESS TO:

**Commissioner for Patents
Box Patent Application
PO Box 1450
Alexandria, VA 22313-1450**

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract..... [Total Pages: 84]
3. ☒ Drawing(s) (35 USC 113)..... [Total Sheets: 40] [FIGS. 1-40]
4. ☒ Oath or Declaration..... [Total Pages: 4]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
5. ☐ This application is filed by fewer than all the inventors named in the prior nonprovisional application.
 - a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:
 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
6. ☐ Verified Statement Claiming Small Entity Status
7. ☒ Incorporation by Reference (usable if Box 4b is checked)
The entire disclosure of the prior application (identified in Item 21), from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
8. ☒ Applicant claims foreign priority benefit to: Japanese Application 2001-018132 filed January 26, 2001;
Japanese Application 2001-100184 filed March 30, 2001
9. ☐ Application Data Sheet. See 37 C.F.R. 1.76
10. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)
11. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies
12. ☒ Assignment to Fujitsu Limited of Kawasaki, Japan at Reel 012196, Frame 0515
☒ for publication of assignee information under 37 CFR 1.215(b)

ACCOMPANYING APPLICATION PARTS

13. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
14. ☐ English Translation Document (if applicable)
15. ☒ Foreign priority benefit under 35 U.S.C. §119 is claimed.
 - a. ☒ Certified Copy of Priority Document(s) filed in prior application No. 09/956,944.
 - b. ☐ Certified Copy of Priority Document(s) enclosed.
 - c. ☐ Certified Copy of Priority Document(s) to follow.
16. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
17. ☒ Preliminary Amendment
 - a. ☒ enclosed herewith.
 - b. ☒ incorporated herein (see Box 21).
18. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
19. ☐ Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
20. ☒ Other: Request for Change of Address

21. CONTINUING APPLICATION, check appropriate box and supply the requisite information below:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/956,944.

Prior application information: Examiner: Greene, Pershelle L
Group/Art Unit: 2826

Preliminary Amendment:

- ☒ Cancel in this application original claims 1-12, 14 and 15 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☒ Amend the specification by inserting before the first line the sentence:--This application is a divisional of application number 09/956,944, filed September 21, 2001, now allowed.--


22. NEW CORRESPONDENCE ADDRESS CUSTOMER NO. 21,171



21171

PATENT TRADEMARK OFFICE

23. SIGNATURE OF ATTORNEY OR AGENT

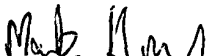
NAME	Mark J. Henry	REGISTRATION NO.	36,162
SIGNATURE		DATE	July 30 2003

NEW APPLICATION FEE TRANSMITTAL		Attorney Docket No.	1077.1011-D
		Application Number	
		Filing Date	July 30, 2003
AMOUNT ENCLOSED	\$ 1224.00	First Named Inventor	Takeshi SHIOGA, et al.

FEE CALCULATION (fees effective 10/01/01)					
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	23 - 20 =	3	X \$ 18.00 =	\$ 54.00
	INDEPENDENT CLAIMS	8 - 3 =	5	X \$ 84.00 =	420.00
	MULTIPLE DEPENDENT CLAIMS (any number; if applicable)			+ \$280.00 =	
	BASIC FILING FEE				750.00
	Total of above Calculations =				\$ 1224.00
	Surcharge for late filing fee, Oath or Declaration (37 CFR 1.53(f)) (\$130.00)				
	Reduction by 50% for filing by small entity (37 CFR 1.27).				
	TOTAL FILING FEE =				\$ 1224.00
	Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d))				
	Recordation of Assignment (\$40.00; 37 CFR 1.21(h))				
	TOTAL FEES DUE =				\$ 1224.00

METHOD OF PAYMENT	
<input checked="" type="checkbox"/>	Check enclosed as payment.
<input type="checkbox"/>	Charge "TOTAL FEES DUE" to the Deposit Account No. below.
<input type="checkbox"/>	No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).

GENERAL AUTHORIZATION	
<input checked="" type="checkbox"/>	If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:
Deposit Account No.	19-3935
Deposit Account Name	STAAS & HALSEY LLP
<input checked="" type="checkbox"/>	The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

SUBMITTED BY: STAAS & HALSEY LLP			
Typed Name	Mark J. Henry	Reg. No.	36,162
Signature		Date	July 30, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Takeshi SHIOGA, et al.

Serial No.

Group Art Unit:

Confirmation No.

Filed: July 30, 2003

Examiner:

For: CAPACITOR AND SEMICONDUCTOR DEVICE (As Amended)

REQUEST FOR CHANGE OF ADDRESS

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Please correct the address of the undersigned attorney for applicants to:



21171

PATENT TRADEMARK OFFICE

Respectfully submitted,

STAAS & HALSEY LLP

Date: July 30 2003

By: Mark J. Henry
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